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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. <b># 6</b> 112740-278	
In Re Application Of: <b>Guido G. Gerner et al.</b> <div style="float: right; text-align: right;"> <b>COPY OF PAPERS ORIGINALLY FILED</b> </div>			
Serial No. <b>09/682,331</b>	Filing Date <b>August 21, 2001</b>	Examiner	Group Art Unit
Title: <b>CONTROL METHOD AND OPTICAL DATA TRANSMISSION PATH FOR COMPENSATING CHANGES IN SRS INDUCED POWER EXCHANGES</b> <div style="text-align: right; margin-top: 10px;"> <b>RECEIVED</b>  <b>FEB 28 2002</b> </div>			
Address to: <b>Assistant Commissioner for Patents Washington, D.C. 20231</b> <div style="text-align: right; margin-top: 10px;"> <b>Technology Center 2600</b> </div>			
<p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center; margin-top: 20px;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div> <p style="text-align: center; margin-top: 10px;"><b>OR</b></p> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-278

In Re Application: Guido Cantner et al.

**COPY OF PAPERS  
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Serial No.

09/682,331

Filing Date

August 21, 2001

Examiner

Group Art Unit

**CONTROL METHOD AND OPTICAL DATA TRANSMISSION PATH FOR COMPENSATING  
IN SRS INDUCED POWER EXCHANGES**

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**Payment of Fee**

Technology Center 2600

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited January 30, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: January 30, 2002

William E. Vaughan (Reg. No. 39,056)

Bell, Boyd &amp; Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

CC:



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANTS: Guido Gentner et al. ATTORNEY DOCKET NO.: 112740-278  
SERIAL NO.: 09/682,331 GROUP ART UNIT:  
DATE FILED: August 21, 2001 EXAMINER:  
INVENTION: CONTROL METHOD AND OPTICAL DATA TRANSMISSION  
PATH FOR COMPENSATING CHANGES IN SRS-INDUCED  
POWER EXCHANGE

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

S I R:

In accordance with the provisions of 37 CFR §1.56, Applicants request that citation and examination of the following documents be made during the course of examination of the above-referenced application for United States Letters Patent.


Other Art

Document AT Electron Lett. Vol. 34, pp. 789-790, 1998 M. Zimgibl, "Analytical model of Raman gain effects in massive wavelength division multiplexed transmission systems"

REMARKS

Applicants respectfully submit that the claimed invention is distinguishable and non-obvious over the enclosed references, taken singly or in combination. Accordingly, early consideration and allowance of the application, including the claims, are hereby requested.

Respectfully submitted,

  
(Reg. No. 39,056)  
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Sheet 1 of 1

37 CFR 1.501 INFORMATION DISCLOSURE STATEMENT IN A PATENT (use several sheets if necessary)						Docket No. 112740-278		Serial No.	
						Applicant: Gentner et al.			
						Filing Date		Group Art Unit	
<b>U.S. PATENT DOCUMENTS</b>									
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate		
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
	AK								
<b>FOREIGN PATENT DOCUMENTS</b>									
		Document Number	Date	Country	Class	Subclass	Translation		
							Yes	No	
	AL								
	AM								
	AN								
	AO								
	AP								
	AQ								
	AR								
	AS								
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>									
	AT	Electron Lett. Vol. 34, pp. 789-790, 1998 M. Zimgibl, "Analytical model of Raman gain effects in massive wavelength division multiplexed transmission systems"							
	AU								
	AV								
	AW								
Examiner				Date Considered					
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									

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